

# Stakeholders

We frequently engage with stakeholders to help them understand the SFC's work and keep them informed about regulatory developments.

Our senior executives spoke at 13 local and international conferences. We held briefing sessions for the industry on the new Guidelines on Online Distribution and Advisory Platforms and key findings of the *Hong Kong Money Laundering and Terrorist Financing Risk Assessment Report*.



Ms Julia Leung, Deputy Chief Executive Officer and Executive Director of Intermediaries, at the SFC Compliance Forum 2018

Our corporate website was enhanced in June to feature a redesigned homepage and make several sections more easily accessible via mobile and tablet devices and by the visually impaired.

We issued the following during the quarter:

- The *Report on the Thematic Review of Alternative Liquidity Pools in Hong Kong*, published in April together with a circular, shared the findings of our thematic review of licensed firms' compliance with the regulatory requirements and examples of good practices.

- The May issue of the *SFC Compliance Bulletin: Intermediaries* discussed our concerns when we consider licensing applications and provided an update on the implementation of the Manager-In-Charge regime.
- The *SFC Regulatory Bulletin: Listed corporations*, published in May, highlighted our early intervention in serious corporate cases which included the provision of inaccurate or incomplete information in listing applications as well as questionable fundraisings and acquisitions of listed companies.
- Our *Annual Report 2017-18*, released in June, summarised the SFC's work during the year and our strategic priorities.

We issued 24 circulars informing industry participants about a wide range of matters, including the use of instant messaging to receive client orders, enhanced fund data reporting and updates on anti-money laundering and counter-terrorist financing.



SFC publications

## Stakeholders

### Publications and other communications

	Quarter ended 30.6.2018	Quarter ended 31.3.2018	Quarter ended 30.6.2017
Press releases	36	34	45
Consultation papers	2	3	4
Consultation conclusions	4	1	2
Industry-related publications	2	3	4
Codes and guidelines <sup>a</sup>	1	2	4
Circulars to industry	24	22	21
Corporate website average daily page views <sup>b</sup>	67,880	67,172	68,825
General enquiries	1,828	2,140	1,722

<sup>a</sup> Includes updates to previous versions.

<sup>b</sup> The average number of webpages browsed per day during the reporting period.